

Office of the City Clerk



Clerk of the Council

Office of the City Clerk Clerk of the Council

Lobbying Bureau Annual Report

As required by Local Law 15 of 2006

**Michael McSweeney
City Clerk, Clerk of the Council**

**Patrick L. Synmoie
General Counsel**

**Arnie Wolsky
Deputy Counsel & Director of the
Lobbying Bureau**

**Walter Carcione
Chief Investigator
Lobbying Bureau**

March 1, 2009

The New York City Lobbying Bureau 2008



Table of Contents

- [Introduction](#)
- [Number of complaints received from the public and the disposition of such complaints](#)
- [Number and amount of civil penalties imposed pursuant to subdivision \(a\), \(b\), \(c\) and \(d\) of section 3-223 of the Administrative Code](#)
- [Number and duration of orders issued pursuant to subdivision \(a\) of section 3-223 of the Administrative Code](#)
- [Number of random audits and the outcomes thereof](#)
- [Compliance programs developed and implemented for lobbyists and clients](#)
- [Other information and analysis](#)
- [Increase in reporting](#)
- [Improvements to the website](#)
- [Outlook for 2009](#)
- [Appendices](#)

INTRODUCTION

Since Local Law 15 of 2006 amended the New York City’s lobbying law (the “Lobbying Law”) approximately three years ago, the Lobbying Bureau (the “Lobbying Bureau”) of the Office of the City Clerk (the “City Clerk”) has exercised its broad enforcement powers to ensure proper oversight, compel necessary disclosure, and hold lobbyists and clients accountable for submitting complete and accurate information in a timely manner.

This is the third report pursuant to Local Law 15 of 2006 which mandates the City Clerk to provide certain information concerning lobbying administration and enforcement annually no later than March 1st of each successive year. Section 3-212(c) of the Administrative Code of the City of New York (the “Administrative Code”) states:

The city clerk shall prepare and post on the internet an annual report relating to the administration and enforcement of the provisions of this subchapter. Such report shall contain information regarding (i) the number of complaints received from the public and the disposition of such complaints; (ii) the number and amount of civil penalties imposed pursuant to subdivisions (a), (b), (c) and (d) of section 3-223 of this subchapter; (iii) the number and duration of orders issued pursuant to subdivision (a) of section 3-223 of this subchapter; (iv) the number of random audits conducted by the clerk and outcomes thereof; (v) compliance

programs developed and implemented for lobbyists and clients; and (vi) such other information and analysis as the city clerk deems appropriate. Such report shall be posted on the internet no later than March first of each year and shall contain information relating to the preceding calendar year.

Listed immediately below is the information required by this section of the Lobbying Law.

(i) Number of complaints received from the public and the disposition of such complaints [\(back to top\)](#)

The Lobbying Bureau received six complaints of unreported lobbying from the public in 2008. In adherence to standard procedure the Lobbying Bureau opened investigations into each of the allegations. Such investigations are ongoing and the results are undetermined as of the date of this report. The Lobbying Bureau follows a strict policy of maintaining all aspects of an investigation confidential until the investigation is complete and a finding is issued.

(ii) Number and amount of civil penalties imposed pursuant to subdivisions (a), (b), (c) and (d) of section 3-223 of the Administrative Code [\(back to top\)](#)

Violators of the Lobbying Law are subject to penalties pursuant to Section 3-223(a) of the Administrative Code. The penalty for knowing and willful violations of the Lobbying Law includes financial sanctions not to exceed thirty thousand dollars or the issuance of a cease-and-desist order. Additionally, Section 3-223(b) of the Administrative Code subjects violators to a penalty not to exceed thirty thousand dollars for violations of a cease-and-desist order or the proscription against contingency agreements in the Lobbying Law. In addition to self-executing late fees for the untimely filing of any statement or report, Section 3-223(c) of the Administrative Code subjects violators to a penalty not to exceed twenty thousand dollars. For any violation of the Lobbying Law not covered in any of the foregoing sentences, Section 3-223(d) of the Administrative Code subjects violators to a penalty not to exceed twenty thousand dollars.

Annual Reports

Lobbyists and clients accrued \$330,075 in fines for failure to timely file their 2007 annual reports. The Lobbying Bureau identified and notified 271 entities that their 2007 annual reports were untimely and collected a total of \$319,191.66 of such fines and civil penalties as of February 20, 2009.

The Lobbying Bureau entered into 12 stipulations with filers regarding late filings of annual reports that were not cured within the notice-to-cure period, collecting \$7,000 in fines and civil penalties. Additionally, the Lobbying Bureau entered into four stipulations regarding incorrect filings made by lobbyists and collected \$1,250 in fines and civil penalties.

As of February 20, 2009, the Lobbying Bureau commenced two hundred twenty-eight actions at the Office of Administrative Trials and Hearings (“OATH”) against filers for failure to timely file their annual reports. After resolution of all but thirty-three of such actions either by receipt of accrued fines or the withdrawal of actions, the Lobbying Bureau successfully prosecuted such remaining actions consequently imposing \$59,785 in fines and civil penalties. The Lobbying Bureau collected \$34,155 of such fines and civil penalties as of February 20, 2009.

Periodic Reports

In 2008, the Lobbying Bureau, pursuant to Section 3-216(a)(1) of the Administrative Code, modified the reporting periods to conform to the reporting periods required by the New York State Public Integrity Commission (previously known as the New York Temporary State Commission on Lobbying) resulting in a six bi-monthly reporting schedule for filers per calendar year.

For the first five reporting periods in 2008, lobbyists accrued \$232,025 in fines for untimely filings. The Lobbying Bureau collected \$50,845 of such fines as of February 20, 2009.

- For the first reporting period in 2008, lobbyists accrued \$97,325 in fines. The Lobbying Bureau identified and notified 51 lobbyists that their reports were untimely and collected \$38,850 of such fines as of February 20, 2009.
- For the second reporting period in 2008, lobbyists accrued \$40,305 in fines. The Lobbying Bureau identified and notified 28 lobbyists that their reports were untimely and collected \$6,780 of such fines as of February 20, 2009.
- For the third reporting period in 2008, lobbyists accrued \$31,590 in fines. The Lobbying Bureau identified and notified 21 lobbyists that their filings were untimely and collected \$2,385 of such fines as of February 20, 2009.
- For the fourth reporting period in 2008, lobbyists accrued \$35,790 in fines. The Lobbying Bureau identified and notified 21 lobbyists that their reports were untimely and collected \$1,965 of such fines as of February 20, 2009.
- For the fifth reporting period in 2008, lobbyists accrued \$27,015 in fines. The Lobbying Bureau identified and notified 24 lobbyists that their reports were untimely and collected \$865 of such fines as of February 20, 2009.

As of February 20, 2009, the Lobbying Bureau commenced 35 actions at OATH against lobbyists for failure to timely file their periodic reports for the first four reporting periods. After resolution of all but two of such actions either by the receipt of accrued fines or the withdrawal

of actions, the Lobbying Bureau successfully prosecuted such remaining actions consequently imposing \$2,725 in fines.

Annexed to this report are appendices listing the late fines and civil penalties accrued by lobbyists and clients in 2008.

(iii) Number and duration of orders issued pursuant to subdivision (a) of section 3-223 of the Administrative Code [\(back to top\)](#)

None.

(iv) Number of random audits and outcomes thereof [\(back to top\)](#)

The Lobbying Bureau audited 30 entities in 2008. The audits encompassed an examination of all filings made in 2007 by the selected entity on behalf of a client or itself to determine if such filings were complete, accurate, timely filed and in full compliance with the Lobbying Law.

The audits resulted in the issuance of 80 findings and consequently 80 required actions. Typical audit findings include the reporting of incorrect amounts of compensation, attributing compensation to the wrong reporting period, registering clients under a different entity name than the names used by the actual clients and failing to list employees who lobby in statements of registration and periodic reports. Examples of actions required to be undertaken by audited parties include amending reports to correct information, providing an affirmation to corroborate amounts reported by the lobbyist, certifying an amended report and reporting specific information about the subject matter and target of lobbying activities.

(v) Compliance programs developed and implemented for lobbyists and clients [\(back to top\)](#)

The Lobbying Bureau, in a variety of methods, works with lobbyists and clients to improve compliance with the Lobbying Law.

Training

The Lobbying Bureau holds training sessions whenever necessitated by change in policy, law or enhancements in eLobbyist. At the most recent training session held on December 17, 2008, the Lobbying Bureau provided information and training on new enhancements to eLobbyist. Representatives of the Department of Information, Technology and Telecommunications (“DoITT”) provided a PowerPoint presentation displaying new applications including the “lobbyist, client and employee stores.” During the question-and-answer portion of the training session attendees had the opportunity to get answers about the new enhancements to

eLobbyist, their reporting responsibilities and to clarify issues regarding lobbying rules and regulations as well as enrollment and registration procedures. A visual file of the training session was placed online at the City Clerk's website. This action though primarily taken for the benefit of non-attending filers contemporaneously facilitates wider dissemination of the information. This and other enhancements to eLobbyist designed to improve compliance are outlined below in the section of this report entitled "*Improvements to eLobbyist.*"

Outreach and Education

In addition to training sessions described above, the Lobbying Bureau participated in various user-specific training sessions that focused on specific segments of the lobbying community. Two examples of such participation are described in the following sentences. The Lobbying Bureau participated in a discussion on the Lobbying Law at the New York State Bar Association which was primarily attended by attorneys. The director of the Lobbying Bureau made a presentation and fielded questions at a forum sponsored by The Lawyers Alliance which was aimed at not-for-profit and community-based organizations.

Customer Assistance, Continued Awareness and Other Compliance Efforts

As a result of information gained at the December 17, 2008 training session, a decision was made to offer lobbyists and clients a blanket extension for all reports due on January 15, 2009 to February 17, 2009 to allow them additional time to get acclimated to the recent enhancements and to allow their clients additional time to enroll in eLobbyist.

The Lobbying Bureau conducts reviews of a sample of reports filed. As a result of these reviews, dozens of notices to cure were served in an effort to make lobbyists and clients provide more specific information on the subject matter and target of their lobbying activities. All of these notices, with one exception, resulted in timely curative action by recipients.

The Lobbying Bureau sent over 600 notices to untimely filers of their failure to meet filing deadlines for reports and of penalties for non-compliance. The Lobbying Bureau sent email notices to the principal officers and designees of lobbyist and client entities, providing important information in real time pertaining to areas such as filing deadlines and training sessions.

Over the course of the year, the Lobbying Bureau received thousands of telephone calls and emails, answering questions and providing assistance on all aspects of the Lobbying Law.

In conjunction with the move of the Office of the City Clerk to 141 Worth Street, New York, New York, the Lobbying Bureau is now located in a newly renovated office that offers the public a cleaner and more streamlined facility complete with conference rooms for meetings. The kiosk in the Lobbying Bureau's office continues to provide easily accessible assistance and

answers to frequently asked questions. The kiosk provides lobbyists, clients and the public with a computer terminal for public access.

(vi) Other information and analysis ([back to top](#))

Advisory opinions

The Lobbying Bureau published a new advisory opinion offering advice to a non-lobbying partner of a law firm registered as a lobbyist who makes a telephone call to the mayor's office on a budget issue on behalf of a pro bono client. When a lobbyist meets the threshold amount, he must register all lobbying clients, irrespective of whether each individual client meets the \$2,000 threshold or if the representation is pro bono. According to advisory opinion 2008-1, the non-lobbying partner is now an "employee who lobbies" of the firm and must be listed in filings of the firm.

Improvements to eLobbyist

Among the enhancements to eLobbyist is the "lobbyist, client and employee stores." Using this enhancement, filers enter the requisite data in eLobbyist and it is stored and repopulates as needed. This web-based system enables lobbyists and clients to file their reports with pre-populated information considerably reducing the amount of required time and labor.

Future enhancements to be announced shortly include improvements designed to ensure more complete and accurate information on the subject matters and targets of lobbyists and their clients. Lobbyists and clients will soon select from a drop-down menu that will include the names of all city council members and the names of all city agencies identified in the Green Book, the official publication of the City of New York. This feature will facilitate easier reporting of both the name of the individual and the agency lobbied as well as the subject matter of lobbying activities.

Increase in reporting ([back to top](#))

There were 364 lobbyists and 1,861 clients registered in eLobbyist in 2008. There were 378 lobbyists and 1,653 clients registered in e-Lobbyist in 2007. In 2006, 246 lobbyists, representing 1,433 clients, filed registrations. In 2005, there were 233 lobbyists registered with the Lobbying Bureau, representing 1,297 clients.

Improvements to the website ([back to top](#))

The City Clerk's website at www.cityclerk.nyc.gov has been transformed, conforming to the format of several city agencies, while continuing to make information and announcements to lobbyists and the public on filing deadlines, reports and other useful information. The Lobbying

Bureau expects that this website will be the first stop for information on lobbying in New York City and a resource for the public.

Outlook for 2009 ([back to top](#))

A primary goal of the Lobbying Bureau for 2009 will be to continue implementation of the Random Audit Program and to conduct and complete audits of the statements and reports required to be filed by lobbyists and clients.

The Lobbying Bureau will complete ongoing investigations and issues findings on the results. We will continue to examine all instances of unreported lobbying.

Further expansion of the NYC Search function has been planned, designed and forwarded to DoITT by the Lobbying Bureau for implementation. The completion of these improvements by DoITT will greatly enhance the search capabilities of the system, expand the public's access to reports and filings, and provide the public with relevant information on lobbying activities that can shed light and increase the transparency of lobbying activities.

We recognize the continuing work performed by DoITT to create new features that provide improvements to eLobbyist. The goal has been to enable lobbyists and clients to file their reports more efficiently. The Lobbying Bureau and DoITT have worked diligently to answer all questions, resolve any issues that have arisen and offer improvements based on suggestions received from the lobbying community.

Appendices([back to top](#))

APPENDIX A

LATE FEES: LOBBYIST 2007 4th PERIODIC REPORT/ANNUAL REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Constantinople & Vallone Consulting LLC	\$ 1,925.00
James F. Capalino & Associates, Inc.	\$ 2,000.00
Skadden, Arps, Slate, Meagher & Flom LLP	\$ 30.00
The Carey Group LLC	\$ 1,375.00
<u>TOTAL</u>	<u>\$ 5,330.00</u>

APPENDIX B

LATE FEES: 2007 CLIENT ANNUAL REPORT

<u>CLIENT ENTITY NAME</u>	<u>AMOUNT</u>	<u>CIVIL</u>	<u>TOTAL</u>
110th Street Service Station, Inc.	\$ 120.00		\$ 120.00
126 East 32nd Street, LLC	\$ 740.00		\$ 740.00
145 Perry Fee Owner, LLC	\$ 560.00		\$ 560.00
151-45 Sixth Rd Whitestone Partners LLC	\$ 1,375.00		\$ 1,375.00
172 Duane Street LLC	\$ 1,590.00	\$ 250.00	\$ 1,840.00
27 Wooster LLC	\$ 1,400.00		\$ 1,400.00
305 East 85th Street Realty, LLC	\$ 820.00		\$ 820.00
309 Canal LLC	\$ 770.00		\$ 770.00
340 West, LLC	\$ 1,550.00		\$ 1,550.00
35th Avenue Company LLC	\$ 800.00		\$ 800.00
40 Rector Owner LLC	\$ 1,330.00	\$ 2,000.00	\$ 3,330.00
400 Fifth Realty LLC	\$ 1,350.00		\$ 1,350.00
413 West 14 Associates	\$ 1,325.00		\$ 1,325.00
42 West 18th St. Realty Corp.	\$ 2,350.00		\$ 2,350.00
420 Broome Street Owner, LLC	\$ 550.00		\$ 550.00
43-45 East 60th Street, LLC	\$ 620.00		\$ 620.00
443 Greenwich LLC	\$ 1,650.00		\$ 1,650.00
44th and 11th LLC	\$ 420.00		\$ 420.00
47th Street Business Improvement District	\$ 480.00		\$ 480.00
476 Broome Property LLC	\$ 900.00		\$ 900.00
5022 Arlington, LLC	\$ 600.00		\$ 600.00
550 Park Avenue Corporation	\$ 670.00		\$ 670.00
60 Davidson LLC	\$ 3,650.00	\$ 500.00	\$ 4,150.00
60 Hudson Owner LLC	\$ 590.00		\$ 590.00
62nd & Park Corporation	\$ 180.00		\$ 180.00
73-74 York, LLC	\$ 620.00		\$ 620.00
7th & Barrow LLC	\$ 2,050.00		\$ 2,050.00
808 Columbus LLC	\$ 680.00		\$ 680.00
82-65 116th Street LLC	\$ 970.00		\$ 970.00
AAFE Management Company	\$ 2,775.00		\$ 2,775.00
Accion New York, Inc.	\$ 1,900.00		\$ 1,900.00
ADC Contracting and Construction Inc.	\$ 800.00		\$ 800.00
AG West 22nd Street Realty, LLC	\$ 610.00		\$ 610.00
AIA New York Chapter	\$ 1,650.00		\$ 1,650.00
Albee Development LLC	\$ 1,120.00		\$ 1,120.00
America Works of New York Inc.	\$ 520.00		\$ 520.00
American Bus Association	\$ 600.00		\$ 600.00
American Lung Association of the City of New York	\$ 1,200.00		\$ 1,200.00
American Recycling Technologies Inc.	\$ 540.00		\$ 540.00
American Self Storage Landing Road, LLC	\$ 2,750.00		\$ 2,750.00
American Stevedoring	\$ 910.00		\$ 910.00
Anheuser-Busch Companies, Inc.	\$ 2,875.00	\$ 500.00	\$ 3,375.00

Annette Rubin	\$	590.00		\$	590.00
APCAC	\$	610.00		\$	610.00
APICHA, Inc.	\$	410.00		\$	410.00
Apollo Real Estate Management IV	\$	1,675.00		\$	1,675.00
Arcadis U.S., Inc.	\$	760.00		\$	760.00
Archstone Communities	\$	600.00		\$	600.00
ASPIRA of New York, Inc.	\$	1,380.00	\$ 500.00	\$	1,880.00
Association for Neurologically Impaired	\$	1,400.00		\$	1,400.00
Astoria Blvd. Development LLC	\$	800.00		\$	800.00
Astoria Energy LLC	\$	850.00		\$	850.00
Atlantic Development Group, LLC	\$	1,300.00		\$	1,300.00
Atlantic Express	\$	490.00		\$	490.00
Avenue Association	\$	1,500.00	\$ 250.00	\$	1,750.00
Basketball City USA, LLC	\$	2,275.00		\$	2,275.00
Bay Ridge United Methodist Church	\$	500.00		\$	500.00
Bedford Stuyvesant Family Health Center, Inc.	\$	1,550.00		\$	1,550.00
Beekman Tenants Corp.	\$	340.00		\$	340.00
Biltmore Tower LLC	\$	480.00		\$	480.00
Bimmy's LLC	\$	800.00		\$	800.00
Blair Productions, Inc.	\$	560.00		\$	560.00
BFC Construction Corp.	\$	3,475.00	\$ 500.00	\$	3,975.00
Bokharian Communities Center	\$	1,150.00		\$	1,150.00
Bowery Residents Committee, Inc.	\$	2,050.00		\$	2,050.00
Boyle Auto Reckers Inc.	\$	880.00		\$	880.00
Broad Street Development, LLC	\$	520.00		\$	520.00
Brodsky Organization	\$	-	\$ 500.00	\$	500.00
Bronx-Lebanon Hospital Center	\$	730.00		\$	730.00
Brooklyn Center for the Urban Environment, Inc.	\$	1,360.00		\$	1,360.00
Brooklyn Children's Museum	\$	1,750.00		\$	1,750.00
Bruce Supply Corp.	\$	300.00		\$	300.00
Business Outreach Center Network, Inc.	\$	1,500.00		\$	1,500.00
Career and Employment Services Inc.	\$	810.00		\$	810.00
CBM Limited	\$	1,300.00		\$	1,300.00
CBS Outdoor Inc.	\$	1,475.00		\$	1,475.00
CCH Holdings Group LLC	\$	610.00		\$	610.00
Center Against Domestic Violence	\$	2,000.00		\$	2,000.00
Centre Partners Management LLC	\$	1,500.00		\$	1,500.00
Change to Win Federation	\$	120.00		\$	120.00
Children's Progress, Inc.	\$	550.00		\$	550.00
Chinese-American Planning Council, Inc.	\$	2,725.00		\$	2,725.00
Cigar Association of America, Inc.	\$	475.00		\$	475.00
Citi Health Home Care Services, Inc.	\$	560.00		\$	560.00
Citigroup Management Corp.	\$	75.00		\$	75.00
City 24/7 LLC	\$	820.00		\$	820.00
Coalco NY	\$	4,025.00		\$	4,025.00
Cognos Corporation	\$	1,550.00		\$	1,550.00
College Community Services, Inc.	\$	670.00		\$	670.00
Columbia Commercial Enterprises	\$	770.00		\$	770.00

Committee to Save St. Brigid	\$	520.00		\$	520.00
Community Service Society of New York	\$	1,375.00		\$	1,375.00
Computers for Youth	\$	300.00		\$	300.00
Continental Realty LLC	\$	530.00		\$	530.00
Continuing Care Leadership Coalition	\$	1,025.00		\$	1,025.00
Cornerstone of Medical Arts Center Hospital	\$	600.00		\$	600.00
Credit Suisse Securities (USA) LLC	\$	100.00		\$	100.00
CRP/Extell Parcel LLP	\$	450.00		\$	450.00
Cybersettle	\$	1,500.00		\$	1,500.00
D.E.A.	\$	175.00		\$	175.00
Dan's Supreme Supermarkets, Inc	\$	350.00		\$	350.00
David Computer Information Center Inc.	\$	840.00		\$	840.00
Della Valle Bernheimer	\$	50.00		\$	50.00
Diaspora Community Services, Inc.	\$	3,625.00	\$ 500.00	\$	4,125.00
Douglaston Development	\$	670.00		\$	670.00
E1 Team Inc.	\$	1,875.00		\$	1,875.00
East Side Entrees, Inc.	\$	660.00		\$	660.00
Edison Properties, LLC	\$	3,475.00	\$ 500.00	\$	3,975.00
Ekstein Development LLC	\$	540.00		\$	540.00
Empire State Pride Agenda, Inc.	\$	1,020.00		\$	1,020.00
Entergy Nuclear Operations Inc.	\$	1,725.00		\$	1,725.00
Enterprise Community Investment, Inc.	\$	1,450.00		\$	1,450.00
Environmental Defense	\$	590.00		\$	590.00
ESP Group LLC	\$	550.00		\$	550.00
Essex Fourth Avenue LLC	\$	420.00		\$	420.00
Exchangeblvd.com	\$	660.00		\$	660.00
Extended Nursing Personnel CHHA, LLC	\$	620.00		\$	620.00
Ferrara Bros. Building Materials Corp.	\$	660.00		\$	660.00
Fiam Bldg. Associates	\$	590.00		\$	590.00
First City Developers Inc.	\$	1,260.00		\$	1,260.00
Flushing Council on Culture and the Arts, Inc.	\$	1,240.00	\$ 2,000.00	\$	3,240.00
Forge Realty LLC	\$	680.00		\$	680.00
Fresh Direct, LLC	\$	1,325.00		\$	1,325.00
G&P Realty of NY LLC	\$	1,100.00		\$	1,100.00
Galway Properties LLC	\$	620.00		\$	620.00
Gannett Fleming Engineers and Architects, P.C.	\$	1,575.00		\$	1,575.00
Gateway Center Properties Phase II, LLC	\$	1,700.00		\$	1,700.00
Gene Kaufman Architect, P.C.	\$	540.00		\$	540.00
Globe Institute of Technology, Inc.	\$	1,225.00		\$	1,225.00
Greater New York LECET Fund	\$	740.00		\$	740.00
Groundwork, Inc	\$	2,000.00		\$	2,000.00
Guardian Engineering Service, LLC	\$	620.00		\$	620.00
HF Management Services, LLC	\$	1,525.00		\$	1,525.00
Hillside Ave Blk 10589 Neighborhood Assoc.	\$	490.00		\$	490.00
HLR Service Corp.	\$	110.00		\$	110.00
Hope Street Ventures LLC	\$	530.00		\$	530.00
Hornblower Cruises & Events	\$	610.00		\$	610.00
Horse and Carriage Association of New York	\$	1,650.00		\$	1,650.00

Housing Partners of NY	\$	1,550.00		\$	1,550.00
Hyline Sports LLC	\$	3,150.00		\$	3,150.00
Ian D. McKeever	\$	970.00		\$	970.00
Ifetayo Cultural Arts Facility, Inc.	\$	1,090.00		\$	1,090.00
Institute for Community Living, Inc.	\$	540.00		\$	540.00
International Dairy Foods Association	\$	1,375.00		\$	1,375.00
International Union of Operating Engineers Local 14-14B	\$	8,550.00		\$	8,550.00
Inwood House	\$	620.00		\$	620.00
JMED Holdings LLC	\$	540.00		\$	540.00
John Skordilis	\$	940.00		\$	940.00
Judo Associates, LLC	\$	980.00		\$	980.00
Kaplan Fox & Kilsheimer LLP	\$	530.00		\$	530.00
KDP Realty LLC	\$	400.00		\$	400.00
Kingsbrook Jewish Medical Center	\$	1,225.00		\$	1,225.00
Land & Sea Development Corp.	\$	1,575.00		\$	1,575.00
Liberty Helicopter Tours, Inc.	\$	560.00		\$	560.00
Lincoln West Commercial Holding Co.	\$	1,460.00		\$	1,460.00
Lockheed Martin	\$	750.00		\$	750.00
M.A. Angeliades, Inc.	\$	540.00		\$	540.00
Madame Tussauds New York, Inc.	\$	1,500.00		\$	1,500.00
Make the Road New York	\$	1,475.00		\$	1,475.00
Manhole Barrier Security Systems, Inc.	\$	740.00		\$	740.00
MBA - Long Island City LLC	\$	1,325.00		\$	1,325.00
McGinn, Smith Licensing Company, LLC	\$	1,310.00	\$ 2,000.00	\$	3,310.00
MCI/FCC Joint Venture	\$	1,350.00		\$	1,350.00
MCP 56 LLC	\$	1,675.00		\$	1,675.00
Melrose Credit Union	\$	540.00		\$	540.00
Merlin Entertainments Group	\$	2,450.00		\$	2,450.00
Metro Terminals Corp.	\$	770.00		\$	770.00
Metropolitan College of New York	\$	1,375.00		\$	1,375.00
MHHC Foundation, Inc.	\$	1,325.00		\$	1,325.00
Mislex LLC	\$	4,175.00		\$	4,175.00
Mitchell Ross, Esq.	\$	1,250.00		\$	1,250.00
Mis Kids, Inc.	\$	1,325.00		\$	1,325.00
MRDB Holdings LP	\$	1,325.00		\$	1,325.00
National Council to Prevent Delinquency	\$	1,475.00		\$	1,475.00
National Railroad Passenger Corporation (Amtrak)	\$	450.00		\$	450.00
National Restaurant Association	\$	6,075.00		\$	6,075.00
New York City Chapter, Inc.	\$	680.00		\$	680.00
New York City Deputy Sheriffs Association	\$	1,000.00		\$	1,000.00
New York City Gay and Lesbian Anti-Violence Project	\$	625.00	\$ 250.00	\$	875.00
New York City Pedicab Owners' Association, Inc.	\$	1,020.00		\$	1,020.00
New York Community Bank	\$	2,000.00		\$	2,000.00
New York Hospital Medical Center of Queens	\$	1,125.00		\$	1,125.00
New York State Trial Lawyers Association, Inc.	\$	675.00		\$	675.00
New Yorkers for Responsible Development	\$	600.00		\$	600.00
New York-Presbyterian Hospital	\$	1,375.00		\$	1,375.00
NFL Ventures, LP	\$	840.00		\$	840.00

Nielsen Media Research, Inc	\$	1,050.00		\$	1,050.00	
Noble Communications/CGBP	\$	3,075.00		\$	3,075.00	
Nortel Networks	\$	2,450.00		\$	2,450.00	
North Star Development Corp.	\$	1,310.00		\$	1,310.00	
Northwest Fifth & 45 Corp.	\$	1,100.00	\$	2,000.00	\$	3,100.00
NRG Energy, Inc.	\$	2,975.00		\$	2,975.00	
NYC Center for Charter School Excellence	\$	75.00		\$	75.00	
NYSIA	\$	3,800.00	\$	3,500.00	\$	7,300.00
Omnilink Systems, Inc	\$	750.00		\$	750.00	
Panasia Estates Inc.	\$	420.00		\$	420.00	
Par Plumbing Co. Inc.	\$	800.00		\$	800.00	
Park It Management Corp.	\$	3,150.00	\$	500.00	\$	3,650.00
Parkchester Preservation Company	\$	175.00		\$	175.00	
Patrolmen's Benevolent Association of the City of New York	\$	1,825.00		\$	1,825.00	
Pearl Realty Management LLC	\$	1,310.00		\$	1,310.00	
Performance Space 122, Inc.	\$	2,900.00		\$	2,900.00	
Picture Car Enterprize, Inc.	\$	1,460.00		\$	1,460.00	
Pioneer Management & Realty LLC	\$	1,370.00	\$	2,500.00	\$	3,870.00
PIXELTONE	\$	1,360.00		\$	1,360.00	
Port Imperial Ferry Corp.	\$	1,750.00		\$	1,750.00	
Port Parties, Ltd.	\$	2,000.00		\$	2,000.00	
Powers & Company	\$	450.00		\$	450.00	
Property Markets Group	\$	1,850.00		\$	1,850.00	
Protecting America.org	\$	1,225.00		\$	1,225.00	
Queens Center For Rehabilitation and Residential Healthcare	\$	1,260.00	\$	2,000.00	\$	3,260.00
Queens Chamber of Commerce	\$	1,300.00		\$	1,300.00	
Queens County Builders & Contractors Association, Inc.	\$	2,200.00		\$	2,200.00	
Queens Economic Development Corporation	\$	1,325.00		\$	1,325.00	
Queens Museum of Art	\$	80.00		\$	80.00	
R Randy Lee	\$	1,375.00		\$	1,375.00	
Rachel Kalnicki	\$	550.00		\$	550.00	
Regan Development Corp.	\$	870.00		\$	870.00	
RLJ Development, Inc.	\$	540.00		\$	540.00	
Rockaway Development and Revitalization Corporation	\$	610.00		\$	610.00	
Romanoff Equities, Inc.	\$	1,325.00		\$	1,325.00	
Rover Management Group	\$	590.00		\$	590.00	
S & R Medallion Corp.	\$	1,550.00		\$	1,550.00	
Saint Vincent Catholic Medical Centers	\$	975.00		\$	975.00	
SAP America, Inc.	\$	3,475.00	\$	250.00	\$	3,725.00
SecureAlert, Inc.	\$	2,780.00		\$	2,780.00	
Senior Housing Resource Corporation	\$	1,525.00		\$	1,525.00	
Sheet Metal Workers International Association Local 137	\$	3,800.00	\$	500.00	\$	4,300.00
Signal Perfection, Ltd.	\$	2,575.00		\$	2,575.00	
Sims Group	\$	1,160.00	\$	500.00	\$	1,660.00
South Street Seaport Limited Partnership	\$	-	\$	500.00	\$	500.00
SP 96-97 Street LLC	\$	660.00		\$	660.00	
St. Barnabas Hospital	\$	175.00		\$	175.00	
St. John's University	\$	1,925.00		\$	1,925.00	

Star 3005 LLC	\$	540.00		\$	540.00
Staten Island Towing Service Inc.	\$	2,510.00		\$	2,510.00
Sun Microsystems, Inc.	\$	1,750.00		\$	1,750.00
SUNY Downstate Medical Center	\$	1,525.00		\$	1,525.00
Surf Avenue Holdings LLC	\$	800.00		\$	800.00
Taconic Investment Partners LLC	\$	800.00		\$	800.00
TAG Court Square, LLC	\$	630.00		\$	630.00
Tandberg	\$	760.00		\$	760.00
The Clarke's Group, LLC	\$	610.00		\$	610.00
The College Board	\$	590.00		\$	590.00
The Forest Hills Jewish Center	\$	1,375.00		\$	1,375.00
The Gothic Apartments, Inc.	\$	-	\$ 250.00	\$	250.00
The Graduate Center Foundation, Inc.	\$	520.00		\$	520.00
The Heights Interim Housing LLC	\$	980.00		\$	980.00
The Heskell Group, Inc.	\$	2,800.00		\$	2,800.00
The Hewitt School	\$	1,240.00	\$ 250.00	\$	1,490.00
Tishman Speyer Properties, L.P.	\$	1,200.00		\$	1,200.00
Tishman Speyer/Citigroup Alternative Investments U.S. Real Estate	\$	1,200.00		\$	1,200.00
Transport Workers Union, Local 100	\$	1,325.00		\$	1,325.00
Transportation Alternatives	\$	1,500.00		\$	1,500.00
UFCW Local 1500	\$	610.00		\$	610.00
Unisys Corporation	\$	2,875.00		\$	2,875.00
Unity Funeral Chapels, Inc.	\$	910.00		\$	910.00
Verifone Transportation Systems Inc.	\$	2,250.00		\$	2,250.00
Washington Square Partners, Inc.	\$	1,325.00		\$	1,325.00
WB IMICO Lexington Lessee, LLC	\$	180.00		\$	180.00
West 129th Street Realty I LLC	\$	1,175.00		\$	1,175.00
Women in Need, Inc.	\$	2,425.00		\$	2,425.00
Workshop in Business Opportunities	\$	1,375.00		\$	1,375.00
World Products Centre LLC	\$	590.00		\$	590.00
WS Market LLC	\$	1,240.00	\$ 2,000.00	\$	3,240.00
Yeled V'Yalda Early Childhood Center Inc.	\$	1,475.00		\$	1,475.00
<u>Yeshiva University</u>	\$	<u>800.00</u>		\$	<u>800.00</u>
<u>SUBTOTAL</u>	\$	328,400.00	\$ 25,000.00		
<u>TOTAL</u>					<u>\$ 353,400.00</u>

APPENDIX C

LATE FEES: 2008 LOBBYIST 1st PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Agudath Israel of America	\$ 5,150.00
Alliance of Resident Theatres/New York	\$ 675.00
American Lung Association of the City of New York	\$ 475.00
Anti-Discrimination Policy & Action Center, Inc.	\$ 1,525.00
Brooklyn Botanic Garden	\$ 100.00
Brooklyn Museum	\$ 3,550.00
Carl Figliola and Associates Inc.	\$ 2,325.00
Child Care, Inc.	\$ 400.00
Citizens' Committee for Children of New York, Inc.	\$ 125.00
Coalition for the Homeless	\$ 750.00
Community Housing Improvement Program	\$ 575.00
Community Voices Heard	\$ 775.00
Connelly and McLaughlin	\$ 1,475.00
Dames Reid, LLC	\$ 1,475.00
Entertainment Software Association	\$ 775.00
Farley & Kessler, P.C.	\$ 225.00
Flushing Willets Points Corona LDC	\$ 5,150.00
Foundation to Support Animal Protection	\$ 4,125.00
Friedman & Gotbaum LLP	\$ 190.00
Joseph P. Morsellino, Esq.	\$ 8,125.00
Law Offices of Howard Goldman	\$ 3,250.00
Local 32BJ SEIU	\$ 775.00
Make the Road New York	\$ 375.00
Michael Kramer	\$ 1,875.00
National Railroad Passenger Corporation (Amtrak)	\$ 125.00
National Strategies, Inc.	\$ 125.00
New York Taxi Workers Alliance	\$ 8,125.00
New Yorkers for Parks	\$ 750.00
Norman Levy, P.C.	\$ 1,150.00
People for the Ethical Treatment of Animals	\$ 3,750.00
Pesetsky and Bookman	\$ 6,100.00
Phillips Nizer LLP	\$ 9,000.00
Poklemba & Hobbs, LLC	\$ 1,200.00
R/V Moynihan Station Developer LLC	\$ 3,075.00
Richard Lipsky Associates, Inc.	\$ 3,400.00
Robinson & Cole LLP	\$ 100.00
Safe Horizon	\$ 775.00
Salamone Consulting, Inc.	\$ 750.00
Sheinkopf Ltd.	\$ 2,125.00
Show Media New York, LLC	\$ 860.00
The Community Preservation Corporation	\$ 1,525.00
The Refinery LLC	\$ 1,525.00

The Women's Housing & Economic Development Corporation	\$	475.00
Thomson Strategies, LLC	\$	100.00
Time Warner Cable	\$	775.00
Tonio Burgos & Associates, Inc.	\$	450.00
Transport Workers Union, Local 100	\$	625.00
Tribeca Film Institute	\$	750.00
West Harlem Environmental Action, Inc.	\$	3,050.00
Williams, Williams & Associates Consultant's Group LLP	\$	75.00
Working Families Organization	\$	<u>2,325.00</u>
<u>TOTAL</u>	\$	<u>97,325.00</u>

APPENDIX D

LATE FEES: 2008 LOBBYIST 2nd PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Brendan Sexton	\$ 4,000.00
Brooklyn Museum	\$ 2,025.00
Carl Figliola & Associates, Inc.	\$ 2,400.00
Citizens Budget Commission	\$ 175.00
Citizens Committee for Children of New York, Inc.	\$ 25.00
Coalition for the Homeless	\$ 25.00
COFCCA, Inc.	\$ 100.00
Corey B. Bearak	\$ 1,475.00
Flushing Willets Point Corona LDC	\$ 2,875.00
Foundation to Support Animal Protection	\$ 2,600.00
General Electric Company	\$ 50.00
Geto & de Milly Inc.	\$ 1,525.00
Local 32BJ SEIU	\$ 700.00
Local Initiatives Support Corporation	\$ 80.00
New York Taxi Workers Alliance	\$ 6,600.00
New Yorkers for Parks	\$ 500.00
People for the Ethical Treatment of Animals	\$ 2,225.00
Phillips Nizer LLP	\$ 6,625.00
R/V Moynihan Station Developer LLC	\$ 1,550.00
Regional Plan Association, Inc.	\$ 900.00
Show Media New York, LLC	\$ 250.00
The Parkside Group, LLC	\$ 350.00
The Women's Housing & Economic Development Corporation	\$ 750.00
Time Warner Cable	\$ 725.00
Tribeca Film Institute	\$ 625.00
West Harlem Environmental Action, Inc.	\$ 125.00
Williams, Williams & Associates Consultant's Group LLP	\$ 300.00
Working Families Organization	\$ 725.00
<u>TOTAL</u>	<u>\$ 40,305.00</u>

APPENDIX E

LATE FEES: 2008 LOBBYIST 3rd PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Agudath Israel of America	\$ 50.00
Alliance for Quality Education	\$ 1,025.00
Brendan Sexton	\$ 2,475.00
Brooklyn Museum	\$ 500.00
Carl Figliola & Associates, Inc.	\$ 1,550.00
Community Health Care Association of New York State, Inc.	\$ 4,950.00
Flushing Willets Point Corona LDC	\$ 1,350.00
Foundation to Support Animal Protection	\$ 430.00
Greenberg Traurig	\$ 1,750.00
Local 32BJ SEIU	\$ 2,300.00
New York Taxi Workers Alliance	\$ 5,075.00
New Yorkers for Parks	\$ 2,275.00
People for the Ethical Treatment of Animals	\$ 700.00
Phillips Nizer LLP	\$ 5,050.00
Plainfield Asset Management LLC	\$ 130.00
R/V Moynihan Station Developers LLC	\$ 25.00
Reckitt Benckiser Pharmaceuticals Inc.	\$ 130.00
Regional Plan Association, Inc.	\$ 25.00
The Lancaster Group	\$ 350.00
Thelen Reid Brown Raysman & Steiner LLP	\$ 25.00
Tribeca Film Institute	\$ 1,425.00
<u>TOTAL</u>	<u>\$ 31,590.00</u>

APPENDIX F

LATE FEES: 2008 LOBBYIST 4th PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Alliance for Quality Education	\$ 25.00
Anti-Discrimination Policy & Action Center, Inc.	\$ 1,400.00
Brendan Sexton	\$ 925.00
Citizens Union of the City of New York	\$ 75.00
Community Health Care Association of New York State, Inc.	\$ 3,400.00
Corey B. Bearak	\$ 25.00
FHGR	\$ 300.00
Flushing Willets Point Corona LDC	\$ 550.00
Greenberg Traurig	\$ 200.00
Local 32BJ SEIU	\$ 750.00
New Yorkers for Parks	\$ 1,775.00
New York City Coalition Against Hunger	\$ 2,025.00
New York Legal Assistance Group	\$ 250.00
New York Taxi Workers Alliance	\$ 3,525.00
New York Yankees	\$ 3,875.00
Phillips Nizer LLP	\$ 3,500.00
R/V Moynihan Station Developer LLC	\$ 1,575.00
The Lancaster Group	\$ 90.00
The Parkside Group	\$ 10,525.00
The Women's Housing & Economic Development Corporation	\$ 250.00
Working Families Organization	\$ 750.00
<u>TOTAL</u>	<u>\$ 35,790.00</u>

APPENDIX G

LATE FEES: 2008 LOBBYIST 5th PERIODIC REPORT

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Alliance for Quality Education	\$ 100.00
ASPCA	\$ 75.00
Brendan Sexton	\$ 2,175.00
Brooklyn Botanic Garden	\$ 425.00
Carl Figliola & Associates, Inc.	\$ 375.00
Community Health Care Association of New York State, Inc.	\$ 1,500.00
Food Bank for New York City Food For Survival, Inc.	\$ 625.00
Greenberg Traurig	\$ 175.00
Kramer Levin Naftalis & Frankel LLP	\$ 1,825.00
Museum of the City of New York	\$ 850.00
New York City Coalition Against Hunger	\$ 500.00
New York Taxi Workers Alliance	\$ 2,000.00
New Yorkers for Parks	\$ 250.00
New York Yankees	\$ 2,350.00
Phillip Nizer LLP	\$ 1,975.00
R/V Moynihan Station Developer LLC	\$ 1,800.00
Riker Danzig Scherer Hyland Perretti LLP	\$ 750.00
Supportive Housing Network of New York	\$ 1,525.00
Stanley M. Friedman	\$ 425.00
The Parkside Group, LLC	\$ 3,250.00
The Lancaster Group	\$ 90.00
Tribeca Film Institute	\$ 400.00
UJA-Federation of NY	\$ 1,550.00
Working Families Organization	\$ 2,025.00
<u>TOTAL</u>	<u>\$ 27,015.00</u>