



FOR IMMEDIATE RELEASE
January 17, 2008

CITY CLERK'S OFFICE ISSUES REPORT ON FIRST YEAR UNDER NEW LOBBYING LAWS

Office has collected \$326,835 in total fines and civil penalties since 2006

The Office of the City Clerk today released a report detailing progress made during the first full year under New York City's new lobbying laws. In 2006 the New York City Council, under the leadership of Speaker Christine C. Quinn and working with Mayor Michael Bloomberg, passed important legislation increasing the accountability and transparency of lobbying in New York City.

These new laws vastly increased public disclosure of the activities of lobbyists, created the framework for much more active and effective enforcement of Lobbying Law violators, and required lobbyists who also serve as political consultants or fundraisers to disclose those activities. In addition, they increased the maximum penalties for violations and added penalties for statements and reports filed late, banned gifts from lobbyists to lawmakers, and made lobbyists' campaign contributions ineligible for taxpayer-financed public matching funds.

The Council and the Mayor charged the Office of the City Clerk, which had historically overseen lobbyist registration, with the responsibility to implement these new laws. Over the course of the past year, the City Clerk has taken significant steps to strengthen its Lobbying Bureau, maximize compliance with laws and provide full public access to information on the activities of those lobbying City government.

To date the City Clerk's office, working with appropriate City agencies, the Council and the Mayor's Office, has:

- **Created and staffed a Lobbying Bureau in the Office of the City Clerk.** The City Clerk created and implemented a blueprint for the operation and staffing of the Lobbying Bureau to best administer and enforce the Lobbying Law.
- **Promulgated Rules to implement the Lobbying Laws.**

- **Created and implemented an e-Lobbyist filing system**, an electronic filing system designed to ease filing and aid in access to data, to replace the paper filing system that had previously been used. E-Lobbyist enables lobbyists and their clients to enroll and submit filings via the internet and allows staff from the Lobbying Bureau to manage enrollments and filings.
- **Created and implemented a publicly searchable database, accessible 24 hours a day.** The public is able to view all registrations and filings via NYC Lobbyist Search, 24 hours a day improving public disclosure.
- **Conducted extensive training and outreach to lobbyists and clients on the new requirements and systems.** The Lobbying Bureau conducted five training sessions to advise lobbyists and clients of changes to the Lobbying Law and to familiarize them with the e-Lobbyist system. The Bureau has also participated in forums in conjunction with non-profit and community based organizations, and established a kiosk in its office to provide easily accessible assistance.
- **Revised the website at www.cityclerknyc.gov to make it user friendly.** Improvements to the website include the creation of a subject matter index to advisory opinions, a calendar of important reporting periods and filing deadlines, and the addition of new training materials.
- **Issued several advisory opinions and bulletins providing information to lobbyists, clients and the public.** The City Clerk has issued four new advisory opinions concerning a variety of issues, including first time opinions on requirements for lobbyists to file Fundraising and Political Consulting Reports.
- **Collected over \$326,000 in total fines and civil penalties.** Since 2006, the Lobbying Bureau has commenced 111 enforcement proceedings against lobbyists and 322 against clients, resulting in \$326,835 in fines and penalties.
- **Established audit protocols and an auditing mechanism, resulting in the creation of the Random Audit Program**, which will be enacted for the 2008 cycle. The Lobbying Bureau has selected 30 firms for audit in 2008, representing a balance between large and small lobbying firms, while maintaining a random selection process. It is anticipated that the Random Audit Program will result in both informal findings and more significant referrals culminating in civil penalties.

While this one year anniversary of the implementation of the City's 2006 reform measure marks the completion of much of the new framework designed to regulate lobbying in the City, it is the beginning of an ongoing process of education, enforcement, and transparency which are the hallmarks of the new law. The full report can be downloaded at <http://www.cityclerknyc.gov/LobbyingBureau/Download/LobbyingBureauSpecialReport.pdf>.

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Office of the City Clerk



Clerk of the Council

Office of the City Clerk Clerk of the Council Special Report

The New Lobbying Bureau at One Year

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Introduction

In 2006, the New York City Council, under the leadership of Speaker Christine C. Quinn, working with Mayor Michael Bloomberg, passed important legislation increasing the accountability and transparency of lobbying in New York City. The Council and the Mayor charged the Office of the City Clerk, which had historically overseen lobbyist registration, with the responsibility to implement this new law.

Over the course of the past year, the City Clerk has taken myriad and significant steps to strengthen its Lobbying Bureau, enforce the Lobbying Law, maximize compliance with the laws and provide full public access to information which details the activities of those lobbying City government. While this one year anniversary of the implementation of the City's 2006 reform measure marks the completion of much of the new framework designed to regulate lobbying in the City, it is only the beginning of an ongoing process of education, enforcement, and transparency which are the hallmarks of the new law.

To date the City Clerk's office, working with appropriate City agencies, the Council and the Mayor's Office has:

- Created and fully staffed the Lobbying Bureau;
- Promulgated rules necessary to implement the Lobbying Law;
- Conducted extensive training and outreach to lobbyists and their clients on the new requirements and systems;
- Created and implemented a new e-Lobbyist filing system for lobbyists and their clients to file required disclosure on-line;
- Created a publicly searchable database to allow for disclosure of lobbyist activities and reporting at www.nyc.gov/lobbyistsearch;
- Revised the City Clerk's office website at www.cityclerknyc.gov so the public can access information in a user-friendly manner on-line 24 hours a day;
- Issued several advisory opinions and bulletins providing information to the lobbyists, their clients and the public;
- Collected fines and civil penalties totaling \$326,835 from lobbyists and clients for failure to comply with the new regulations;
- Commenced dozens of enforcement proceedings against out of compliance lobbyists and clients at the Office of Administrative Trials and Hearings ("OATH");

- Established audit protocols after extensive national research on best practices and established a comprehensive and aggressive auditing mechanism;
- Commenced the Random Audit Program with the random selection of firms for audit and the imminent issuance of 30 notices of random audits.

This special report is prepared to advise the public of the work performed by the Lobbying Bureau on the one year anniversary of the amendments to the lobbying law. Pursuant to the Lobbying Law, the Lobbying Bureau is required to prepare and post an annual report no later than the first of March each year. Comprehensive numbers will be available in the annual report.

Background

The City first ushered in the regulation of lobbying with enactment of the City's first Lobbying Law, Local Law 79 of 1972, codified as Administrative Code § 3-211-233 (the "Lobbying Law"). Under the dictates of the Lobbying Law, lobbyists registered with the City Clerk and supplied periodic reports on their activities. The City Clerk collected lobbyist statements and reports and published an annual compilation of lobbyist information including a listing of the lobbyists and their clients, their reported total revenue and periodic compensation and identifying the top ten lobbyists. However, the City Clerk's office functioned primarily as a collector, repository and annual reporter of lobbyist registrant information. There was a lack of aggressive oversight and effective enforcement mechanisms.

Recognizing that lobbying scandals on the federal and state levels, such as the Jack Abramoff scandal in Washington, D.C. in 2005, had reduced the public's trust in elected officials, the Speaker and Mayor unveiled a package of lobbying laws to regulate city lobbyists.

On June 13, 2006, Mayor Michael R. Bloomberg signed into law the three bills that amended the then current Lobbying Law:

- Local Law 15 of 2006 vastly increased public disclosure of the activities of lobbyists, created the framework for much more active and effective enforcement of Lobbying Law violators, required lobbyists who also serve as political consultants or fundraisers to disclose those activities, increased the maximum penalties for violations and added penalties for statements and reports filed late;
- Local Law 16 of 2006 banned gifts from lobbyists to lawmakers;
- Local Law 17 of 2006 made lobbyists' campaign contributions ineligible for taxpayer-financed public matching funds.

A year earlier, Mayor Bloomberg and the Department of Information Technology and Telecommunications ("DoITT") announced the creation of an online database of

registered lobbyists and their clients, *NYC Lobbyist Search*, www.nyc.gov/lobbyistsearch, also accessible from the City Clerk's website www.cityclerknyc.com. The online database provides public accessibility to some of the information registered lobbyists are required by law to disclose. A simple search function allows users to search by entering either a lobbyist's or client's name. Previously, the information was only available on paper filings stored with the City Clerk and made public in the annual report described above.

Created and fully staffed a Lobbying Bureau in the Office of the City Clerk

The City Clerk created and implemented an organizational blueprint for the operation and staffing of the Lobbying Bureau with the goal of fulfilling its mandates to administer and enforce the Lobbying Law. To enable it to conduct its affairs and manage its duties, six new staff members were hired: four analyst/investigators, an administrator and an attorney. The administrator, working in conjunction with the newly created Deputy Counsel to the City Clerk, supervises the day-to-day activities of the analyst/investigators. The Lobbying Bureau is directed by the Deputy Counsel to the City Clerk who manages its staff, and is responsible for ensuring the bureau fulfills its responsibilities.

Promulgated rules necessary to implement the Lobbying Law

To implement Local Law 15 of 2006, the City Clerk promulgated rules in accordance with the requirements of the City Administrative Procedure Act of the New York City Charter Chapter 45, Section 1043. A collaborative process ensued involving the Corporation Counsel ("Law Department"), OATH, the Department of Investigation ("DOI") and additional participants.

The final rules, a culmination of over 10 drafts, numerous conference calls and meetings among the participants, were made publicly available and a public hearing was held on November 15, 2006.

The rules were approved by the Law Department and after making a second public notification, they took effect on December 10, 2006.

Conducted extensive training and outreach to lobbyists and clients on the new requirements and systems

The Lobbying Bureau's policy is to work with lobbyists and clients to improve compliance with the law's requirements. This is done through extensive outreach, education and compliance programs.

The Lobbying Bureau conducted five training sessions to advise lobbyists and their clients of recent changes to the Lobbying Law and to familiarize them with the e-Lobbyist system. These sessions were widely publicized and attended by hundreds of people.

On December 12, 2006, the Lobbying Bureau held its first lobbying training session at the office of the City Clerk. One hundred and twenty-six individuals attended the three-hour session. Additional training sessions followed on December 28, 2006, January 27, 2007, April 5, 2007 and December 12, 2008.

Sessions involved a synopsis of the changes in law by Lobbying Bureau staff, distribution of material outlining the new system, and most importantly, attendees were able to view a working version of the e-Lobbyist registration process using a large on-screen display. Participants had the opportunity to ask questions and receive answers about their reporting responsibilities and to clarify issues regarding lobbying rules and regulations as well as enrollment and registration procedures. A question and answer session was held following the demonstration of the e-Lobbyist system and attendees were given the opportunity to comment on the e-Lobbyist system and the benefit of future training sessions.

The Lobbying Bureau has compiled e-mail addresses for lobbyists and clients to enable us to communicate with all filers in real time to provide them with current information concerning the Lobbying Law and the e-Lobbyist system.

In addition to Lobbyist Bureau sponsored training sessions, we participated in various forums, offering information and assistance, in conjunction with non-profit and community based organizations. The Lobbying Bureau participated in a discussion on the new law at the Association of the Bar of the City of New York.

The Lobbying Bureau established a kiosk in its office to provide easily accessible assistance and answers to frequently asked questions to lobbyists, clients and the public, as well as offering a computer terminal for public access.

The Lobbying Bureau continues to look for additional ways to make the system more user-friendly for lobbyists, clients and the public. In an effort to get feedback from users, we did an initial focus group at the end of this year and will continue to invite lobbyists, clients and the public to participate in similar sessions at the Lobbying Bureau to assess our progress and offer suggestions to improve our performance.

Created and implemented a new e-Lobbyist filing system for lobbyists and clients to file required disclosure on-line

Beginning in 2007, the City Clerk, in cooperation with DoITT, implemented the e-Lobbyist system, an electronic filing system designed to ease filing and aid in access to data, to replace the paper filing system that had previously been used. E-Lobbyist enables lobbyists and their clients to enroll and submit filings via the internet and allows staff from the Lobbying Bureau to manage enrollments and filings.

As part of its efforts to ensure a smooth transition to the e-Lobbyist system for lobbyists, clients and the public, the City Clerk made available an e-Lobbyist user guide prepared by DoITT that explains, step-by-step, how to use the e-Lobbyist application.

Improvements to the application have been added since its introduction to reduce data entry time and improve report-processing time. Additional improvements, such as electronic check and remittance processing, notifications to untimely filers, electronic credit card and remittance processing, the ability to work on a filing over an extended period of time and the ability of filers to easily repopulate data as well as copy and paste information, will be phased in over time and will greatly increase data entry speed.

There are currently 378 lobbyists and 1,653 clients registered in e-Lobbyist.

Created a publicly searchable database to allow for full disclosure of lobbyist activities and reporting at www.nyc.gov/lobbyistsearch.

The on-line application has improved public disclosure. The public is able to view all registrations and filings via *NYC Lobbyist Search*, 24 hours a day. No longer does the public have to submit a request to view paper filings or pay copy fees of 25¢ per page. An interactive query screen offers an extensive number of search criteria to locate different groupings of lobbyist and client records. Different reports on lobbyists and clients are available on-line, to be selected, reviewed, printed or imported with up-to-date lobbying data, again at no cost.

Further expansion of the *NYC Lobbyist Search* function is planned to enable the public to have greater access to reports and filings.

Revised the website at www.cityclerknyc.gov so the public can access this information in a user-friendly manner on-line 24 hours a day

Improvements to the website include the creation of a subject matter index to the advisory opinions, enabling previously issued advisory opinions to be easily accessed and referenced by the public. A calendar of important reporting periods and filing deadlines was created and posted on the website to ensure lobbyists and clients are provided with access to the new reporting periods in effect in 2008. Additionally, training materials including a PowerPoint presentation and a screenshots presentation were posted on the City Clerk's website for the benefit of lobbyists and clients.

Issued several advisory opinions and bulletins providing information to the lobbyists, their clients and the public

The City Clerk had not issued any advisory opinions since 1991. With the changes to the Lobbying Law and the staffing of the Lobbying Bureau, 2007 saw four new advisory opinions published on the Lobbying Bureau website. The advisory opinions concern a

variety of issues that reference the changes to the Lobbying Law, including first time opinions on requirements for lobbyists to file Fundraising and Political Consulting Reports.

Collected fines and civil penalties totaling \$326,835 from lobbyists and clients for failure to comply with the new regulations

The Lobbying Bureau investigates violations of the Lobbying Law pursuant to NYC Administrative Code Section 3-212.

- For the 2006 annual reports, the Lobbying Bureau identified and notified 34 lobbyists and 304 clients that their 2006 annual reports were untimely and collected \$30,875 and \$241,400 in fines, respectively, for their failure to file such reports in a timely manner.
- For the first reporting period in 2007, the Lobbying Bureau identified and notified 24 lobbyists that their reports were untimely and collected \$12,090 in fines to date.
- For the second reporting period in 2007, the Lobbying Bureau identified and notified 32 lobbyists that their reports were untimely and collected \$15,545 in fines to date.
- For the third reporting period in 2007, 21 lobbyists were identified and notified their filings were untimely and collected \$1,850 in fines to date.
- The filings for the fourth reporting period and year end filings will be available in March.
- For violations related to untimely filings of 2007 Statements of Registration, the Lobbying Bureau collected \$25,075 in fines from lobbyists to date.

The names of the lobbyists and clients from whom fines and civil penalties were collected as well as the respective fines are annexed to this report.

Commenced dozens of enforcement proceedings against out of compliance lobbyists and clients at OATH

The Lobbying Bureau commenced 53 actions at OATH, serving Notices of Petitions on lobbyists and clients alleging violations of the Lobbying Law. Of these actions, five actions proceeded to OATH for administrative hearings. The Lobbying Bureau has won all actions, resulting in \$21,000 in civil penalties in addition to statutory fines for untimely filings. Remaining actions were discontinued after respondents met their legal obligations by filing requisite reports and paying the statutory late fines.

The Lobbying Bureau has identified and notified two lobbyists for their failure to register their clients in a timely basis.

Established audit protocols after national research on best practices and established a comprehensive and aggressive auditing mechanism

As a consequence of the Local Law 15 of 2006, the City Clerk was empowered to undertake audits necessary to carry out the provisions of the Lobbying Law and conduct random audits of the statements and reports filed by lobbyists and clients.

The establishment of the audit protocols was a significant undertaking, involving a nationwide study and review of lobbying laws and audit requirements in other jurisdictions with lobbying laws. Officials representing a number of states and municipalities were consulted in an effort to create a comprehensive auditing mechanism. The Lobbying Bureau worked closely with DOI in establishing these protocols. This effort culminated in the establishment of the Random Audit Program.

As part of the implementation of the Random Audit Program, the Lobbying Bureau prepared audit protocols to guide its staff and to ensure all audit work meets or exceeds the standards laid out in its protocols. The preparation of audit protocols is intended to ensure that high quality audits and audit reports are consistently produced and to furnish reports, analyses, recommendations and information on compliance with the Lobbying Law.

Commenced the Random Audit Program with the random selection of firms for audit and the imminent issuance of 30 notices of random audits

The Lobbying Bureau has prepared a selection process to ensure that firms chosen for audit represents a balance between large and small lobbying firms, while maintaining a random selection process. The Lobbying Bureau instituted a selection process based on the system utilized by the New York Temporary State Commission on Lobbying and has retained its consultant to insure the selection of entities for audits is random and independent.

The Lobbying Bureau has selected 30 entities for audit in 2008 and the issuance of audit notices to those selected for audit is imminent. This number mirrors the number of audits conducted by the state's lobbying commission at its inception. The Lobbying Bureau anticipates making additional selections of entities for audit during this year.

It is anticipated that RAP will result in both informal findings (i.e., minor errors, discrepancies, and recommendations) and significant referrals to OATH culminating in civil penalties.

LATE FEES: LOBBYIST ANNUAL REPORT 2006

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
The Advance Group	\$ 150.00
Alliance of Resident Theater/ NY	\$ 225.00
AMDEC Foundation, Inc	\$ 725.00
Apple Computer, Inc.	\$ 75.00
Brennan Center for Justice	\$ 400.00
Connelly McLaughlin	\$ 1,625.00
Enright, Janice Ann	\$ 25.00
Ettinger, David A.	\$ 75.00
Fashion Institute of Technology	\$ 25.00
Graham Jr, John E.	\$ 100.00
Halpin Public Affairs	\$ 200.00
Hartman & Craven LLP	\$ 50.00
Homeless Services United	\$ 200.00
Kessler, Cary David Esq.	\$ 400.00
Kramer Levin Naftalis & Frankel LLP	\$ 950.00
Lipsky, Richard	\$ 4,750.00
Make the Road by Walking, Inc.	\$ 1,125.00
Mandelker, Lawrence A.	\$ 250.00
McCaffrey Group Limited	\$ 3,075.00
Mercury Public Affairs, LLC	\$ 350.00
Morsellino, Joseph P. Esq.	\$ 350.00
New York Civil Liberties Union	\$ 725.00
New York State Nurses Association	\$ 50.00
New York State Trial Lawyers Association, Inc.	\$ 375.00
Poklemba, John J.	\$ 50.00
Schnur Associates, Inc.	\$ 225.00
Seto, Chung	\$ 10.00
Sheinkopf Ltd.	\$ 2,150.00
Sierra Club	\$ 75.00
Sidley Austin LLP	\$ 550.00
Slater & Beckerman, LLP	\$ 2,140.00
Troutman Sanders LLP	\$ 25.00
Wachtel & Masyr, LLP	\$ 9,375.00
<u>TOTAL</u>	<u><u>\$30,875.00</u></u>

Appendix B**LATE FEES: CLIENT ANNUAL REPORT 2006**

<u>CLIENT ENTITY NAME</u>	<u>AMOUNT</u>	<u>CIVIL</u>	<u>TOTAL</u>
1405 Fifth Avenue LLC	\$ 25.00		\$ 25.00
147 Prince Street	\$ 875.00		\$ 875.00
151 North Conduit Associates	\$ 75.00		\$ 75.00
1765 First Avenue Associates LLC	\$ 300.00		\$ 300.00
2475 Knapp Street Developers, LLC	\$ 1,525.00		\$ 1,525.00
250 East 87 Owners Corp.	\$ 520.00		\$ 520.00
27 West 19th Street LLC	\$ 550.00		\$ 550.00
27 Wooster, LLC	\$ 775.00		\$ 775.00
62 Imlay Street Real Estate, LLC	\$ 950.00		\$ 950.00
A&C Development Partners	\$ 925.00		\$ 925.00
A&R Lobosco, Inc.	\$ 340.00		\$ 340.00
A.F.C. Enterprises, Inc.	\$ 50.00		\$ 50.00
Acadia-P/A Sherman Avenue, LLC	\$ 630.00		\$ 630.00
AdFleet Advertising USA, Inc.	\$ 310.00		\$ 310.00
Aerolert US, LLC	\$ 370.00		\$ 370.00
AFC Enterprises	\$ 50.00		\$ 50.00
Air Pegasus Helicopters	\$ 6,250.00	\$ 3,500.00	\$ 9,750.00
Albert Einstein College of Medicine of Yeshiva University	\$ 150.00		\$ 150.00
Alf Naman Real Estate Advisors	\$ 290.00		\$ 290.00
All Stars Project (The)	\$ 525.00		\$ 525.00
Allied Waste	\$ 6,350.00	\$ 3,500.00	\$ 9,850.00
Alzheimers Association NYC Chapter	\$ 1,475.00		\$ 1,475.00
American Electronics Association/N.Y. Council	\$ 25.00		\$ 25.00
American Heart Association	\$ 50.00		\$ 50.00
American Lung Association of the City of N.Y.	\$ 950.00		\$ 950.00
Amusement & Music Owners Association of N.Y.	\$ 400.00		\$ 400.00
AMV/Unitel LLC	\$ 50.00		\$ 50.00
Ancona, Jack	\$ 25.00		\$ 25.00
Apollo Real Estate Advisors, L.P.	\$ 75.00		\$ 75.00
Apollo Theatre Foundation, Inc. (The)	\$ 225.00		\$ 225.00
Apple Computer	\$ 75.00		\$ 75.00
Archdiocese of NY	\$ 75.00		\$ 75.00
Arker-Domain Development Strategies	\$ 360.00		\$ 360.00
Armory Show Inc. (The)	\$ 300.00		\$ 300.00
Astroland, Inc.	\$ 750.00		\$ 750.00
AT&T	\$ 1,550.00		\$ 1,550.00

Austin Coznen Assoc.	\$ 420.00	\$ 420.00
Babylon Paper Stock	\$ 1,450.00	\$ 1,450.00
Bailey House	\$ 360.00	\$ 360.00
Basketball City U.S.A.	\$ 900.00	\$ 900.00
Bayrock Group LLC	\$ 420.00	\$ 420.00
Ben Wong/Roart (LBW Enterprises, LLC)	\$ 875.00	\$ 875.00
BFC Construction Corp. (Double B Management)	\$ 1,475.00	\$ 1,475.00
Bialystoker Center for Nursing and Rehabilitation	\$ 570.00	\$ 570.00
BJ's Wholesale Club	\$ 1,650.00	\$ 1,650.00
Blumfeld Development Group Ltd.	\$ 600.00	\$ 600.00
Bradley S. Beckstrom	\$ 750.00	\$ 750.00
Brennan Center for Justice	\$ 575.00	\$ 575.00
Briarwood Organization	\$ 875.00	\$ 875.00
Brooklyn Botanic Garden Corp.	\$ 25.00	\$ 25.00
Brooklyn Center for The Urban Environment	\$ 25.00	\$ 25.00
Brooklyn Chamber of Commerce	\$ 725.00	\$ 725.00
Brooklyn Public Library	\$ 1,550.00	\$ 1,550.00
C&K Properties	\$ 1,010.00	\$ 1,010.00
Cactus of Harlem	\$ 775.00	\$ 775.00
Cactus Properties	\$ 775.00	\$ 775.00
Cape Advisors	\$ 775.00	\$ 775.00
Casita Maria, Inc.	\$ 540.00	\$ 540.00
Castillo Theatre (The All-stars Project)	\$ 525.00	\$ 525.00
Cathedral Church of St. John the Divine (The)	\$ 10.00	\$ 10.00
Catherine T. Walker	\$ 10.00	\$ 10.00
Center for Jewish History	\$ 800.00	\$ 800.00
CGI-AMS	\$ 1,225.00	\$ 1,225.00
CGS Developers LLC	\$ 1,050.00	\$ 1,050.00
Chelsea Piers LP	\$ 300.00	\$ 300.00
Chetrit Group/200 Fifth Avenue	\$ 3,000.00	\$ 3,000.00
Chinese American Planning Council	\$ 20.00	\$ 20.00
Circle Line Harbor Cruises, LLC	\$ 850.00	\$ 850.00
City Harvest	\$ 350.00	\$ 350.00
City Parks Foundation	\$ 350.00	\$ 350.00
Citymeals-on-Wheels	\$ 25.00	\$ 25.00
CNYC Inc. d/b/a/ The Council of NY Co-ops & Condos	\$ 600.00	\$ 600.00
Coach USA	\$ 100.00	\$ 100.00
Coalition of Volunteer Mental Health Agencies	\$ 1,975.00	\$ 1,975.00
Coastal Communications Services, Inc.	\$ 75.00	\$ 75.00
Cohen Brothers Realty Corporation	\$ 310.00	\$ 310.00
Columbia University	\$ 75.00	\$ 75.00
Committee for Safe Streets	\$ 20.00	\$ 20.00
Community Health Care Assoc. of NYS	\$ 360.00	\$ 360.00

Computers for Youth	\$	25.00		\$	25.00
Consumer Electronics Association	\$	240.00		\$	240.00
Cooper Union for the Advancement of Science & Art	\$	350.00		\$	350.00
Coro New York	\$	410.00		\$	410.00
Council for Unity Inc. (The)	\$	625.00		\$	625.00
CS Ritz Holdings LP	\$	50.00		\$	50.00
Cubic Corporation	\$	430.00		\$	430.00
D. Patricia Hayot - Chapin School	\$	700.00		\$	700.00
Danaher Controls	\$	6,850.00	\$ 3,500.00	\$	10,350.00
Dance Theater Workshop	\$	25.00		\$	25.00
De Fazio, Mark	\$	1,075.00		\$	1,075.00
Dia Art Foundation	\$	225.00		\$	225.00
Diaspora Community Services	\$	240.00		\$	240.00
Diebold Election Systems	\$	25.00		\$	25.00
Dimension Data	\$	450.00		\$	450.00
Direct Link Technology	\$	580.00		\$	580.00
District Council 37	\$	950.00		\$	950.00
Double B Management Services	\$	1,475.00		\$	1,475.00
Downtown Real Properties	\$	750.00		\$	750.00
Downtown Staten Island Council	\$	325.00		\$	325.00
Durst Organization (The)	\$	925.00		\$	925.00
Easco Boiler Corp.	\$	1,300.00		\$	1,300.00
East 124th Street, LLC	\$	1,075.00		\$	1,075.00
Easton Sports, Inc.	\$	75.00		\$	75.00
Ecology Environment	\$	180.00		\$	180.00
Elad Properties	\$	25.00		\$	25.00
Eldridge Street Project	\$	1,250.00		\$	1,250.00
Election Systems and Software	\$	5,825.00	\$ 3,500.00	\$	9,325.00
Emma Macari - City University of N.Y. (Emily Simons)	\$	700.00		\$	700.00
Empire State Pride Agenda	\$	380.00		\$	380.00
Environmental SL, Ltd.	\$	475.00		\$	475.00
Fay Spofford & Thorndike of NY, Inc.	\$	750.00		\$	750.00
Feld Entertainment, Inc.	\$	25.00		\$	25.00
Fieldston Homeowners Association, Inc.	\$	430.00		\$	430.00
Filenet Corporation	\$	3,150.00		\$	3,150.00
Flag Luxury Properties LLC d/b/a Ferry Point Partners	\$	410.00		\$	410.00
Forest Hills Jewish Center	\$	20.00		\$	20.00
Fred Harris Avalon Bay	\$	700.00		\$	700.00
General Growth Properties	\$	480.00		\$	480.00
General Theological Seminary	\$	70.00		\$	70.00
George Kimmerle - Kimmerle Downstate, LLC	\$	700.00		\$	700.00
GGP Limited Partnership	\$	480.00		\$	480.00
Globe Institute of Technology	\$	360.00		\$	360.00

Gotham Organization, Inc.	\$	410.00	\$	410.00
Gowanus Canal Joint Venture LLC	\$	775.00	\$	775.00
Gray Line NY Tours	\$	100.00	\$	100.00
Greater Jamaica Development Corp.	\$	20.00	\$	20.00
GTJ, Inc.	\$	310.00	\$	310.00
Haim Marcovici	\$	50.00	\$	50.00
Hakimian Organization	\$	10.00	\$	10.00
Harbar Motors, Ltd.	\$	900.00	\$	900.00
Harry Kotowitz HK Management Group LLC	\$	700.00	\$	700.00
Harshad Patel (Krishna Management), LLC	\$	310.00	\$	310.00
Heskel Group	\$	420.00	\$	420.00
High Line Partners LLC	\$	290.00	\$	290.00
Hines Interests Limited Partnership	\$	180.00	\$	180.00
Horizon Global	\$	360.00	\$	360.00
Humane Society of the U.S. (The)	\$	875.00	\$	875.00
IBM	\$	925.00	\$	925.00
IKEA	\$	1,075.00	\$	1,075.00
Inner City Contracting	\$	50.00	\$	50.00
Instructional Systems, Inc.	\$	270.00	\$	270.00
International Council of Shopping Centers	\$	320.00	\$	320.00
International Design Realty	\$	1,075.00	\$	1,075.00
Interstate Waste Technology, Inc.	\$	10.00	\$	10.00
Israel Vraneski - Shaya B. Developers	\$	700.00	\$	700.00
J.C. Studios Brooklyn LLC	\$	1,200.00	\$	1,200.00
Jack Ancona	\$	25.00	\$	25.00
Jacoppo Rocca Rodel USA, Inc.	\$	700.00	\$	700.00
Jamaica Center for Arts & Learning, Inc.	\$	750.00	\$	750.00
Jazz Museum in Harlem	\$	10.00	\$	10.00
JC Dwight, Inc.	\$	1,075.00	\$	1,075.00
JCS Realty LLC	\$	1,200.00	\$	1,200.00
Jed S. Marcus	\$	50.00	\$	50.00
Joseph Korff - ARC Development	\$	700.00	\$	700.00
Joseph P. Day Realty Corp.	\$	50.00	\$	50.00
JSM Management Corp.	\$	775.00	\$	775.00
K12	\$	900.00	\$	900.00
Karen Backus YMCA - K. Backus & Associates, Inc.	\$	700.00	\$	700.00
KD Holding Company LLC	\$	800.00	\$	800.00
Kingsborough Community College	\$	1,425.00	\$	1,425.00
L Riso & Sons	\$	875.00	\$	875.00
Lands End Associates, LLP	\$	750.00	\$	750.00
Leader House Associates LP	\$	1,175.00	\$	1,175.00
Leewood Staten Island LLC	\$	360.00	\$	360.00
Legal Action Center of the City of N.Y.	\$	160.00	\$	160.00

Leslie Nickel Washington Square Partners	\$	700.00		\$	700.00
Literacy Assistance Center Inc.	\$	775.00		\$	775.00
Long Island University	\$	925.00		\$	925.00
Lower East Side Tenement Museum	\$	750.00		\$	750.00
Madison Avenue Leasehold, LLC	\$	50.00		\$	50.00
Marjam Supply Company	\$	725.00		\$	725.00
Markham Gardens LP	\$	360.00		\$	360.00
Marshall Weisman Columbia Realty Holdings	\$	700.00		\$	700.00
Martin H. Brockstein	\$	150.00		\$	150.00
Maspeth Development LLC	\$	800.00		\$	800.00
Matthew Quigley - Plaxall	\$	700.00		\$	700.00
Mattone Group, LLC	\$	750.00		\$	750.00
MCP SO Strategic 56 LLP	\$	920.00		\$	920.00
McSam Hotel LLC	\$	620.00		\$	620.00
Met Life	\$	50.00		\$	50.00
Metropolitan Paper Recycling Inc.	\$	950.00		\$	950.00
Mimi Sason/Tylatex LLC	\$	640.00		\$	640.00
MIS Kids, Inc.	\$	925.00		\$	925.00
Mislex LLC (Mercer 111 Owner LLC)	\$	420.00		\$	420.00
Mount Sinai Medical Center	\$	750.00		\$	750.00
MultiState Associates Inc. (HDR)	\$	875.00		\$	875.00
Muss Development Company	\$	1,075.00		\$	1,075.00
National Cartoon Museum	\$	10.00		\$	10.00
National Consulting LLC	\$	1,100.00		\$	1,100.00
National Foundation for Teaching Entrepreneurship	\$	30.00		\$	30.00
National Jazz Museum	\$	10.00		\$	10.00
National Medical Health Card Systems, Inc.	\$	750.00		\$	750.00
National Promotions & Advertising	\$	6,400.00		\$	6,400.00
Neighborhood Retail Alliance, Inc.	\$	950.00		\$	950.00
New Jersey Transit	\$	20.00		\$	20.00
New York AIDS Coalition	\$	600.00		\$	600.00
New York Community Bank	\$	75.00		\$	75.00
New York Methodist Hospital	\$	775.00		\$	775.00
New York Outdoor Operators	\$	2,550.00	\$ 4,500.00	\$	7,050.00
New York Production Alliance	\$	25.00		\$	25.00
New York State Association of Realtors, Inc.	\$	850.00		\$	850.00
New Yorkers Against the Death Penalty	\$	50.00		\$	50.00
New Yorkers for Responsible Development	\$	260.00		\$	260.00
Nicholas Sprayregen - Tuck-It-Away	\$	700.00		\$	700.00
North Seven Associates LLC	\$	550.00		\$	550.00
Northside Tower Realty LLC	\$	520.00		\$	520.00
NRG	\$	5,660.00		\$	5,660.00
NY Academy of Medicine (The)	\$	725.00		\$	725.00

NYC Central Labor Council AFL-CIO	\$	950.00		\$	950.00
NY Hospital Medical Center of Queens	\$	925.00		\$	925.00
NYS Federation of Taxi Drivers	\$	50.00		\$	50.00
Omnicom Management Inc.	\$	600.00		\$	600.00
One Economy Corp.	\$	1,100.00		\$	1,100.00
One York Street Assoc.	\$	1,050.00	\$ 2,500.00	\$	3,550.00
Opera America	\$	300.00		\$	300.00
Park-it Management	\$	1,075.00		\$	1,075.00
PCDC (Primary Care Development Corporation)	\$	850.00		\$	850.00
Performance Space 122	\$	125.00		\$	125.00
Perry Davis Associates o/b/o Family Support Systems	\$	30.00		\$	30.00
Peter Moore	\$	350.00		\$	350.00
PFM Asset Management, LLC	\$	310.00		\$	310.00
Phelps Dodge Refining Corporation	\$	150.00		\$	150.00
Pile Foundation Construction Co, Inc.	\$	1,250.00		\$	1,250.00
Pioneer Management	\$	370.00		\$	370.00
Plaza 75 Realty, Inc.	\$	420.00		\$	420.00
Port Parties Ltd.	\$	1,950.00		\$	1,950.00
Potential Industries Inc.	\$	360.00		\$	360.00
Primary Care Development Corp.	\$	850.00		\$	850.00
R. Randy Lee	\$	360.00		\$	360.00
Rapid Processing, LLC	\$	300.00		\$	300.00
Read Property Group, LLC	\$	750.00		\$	750.00
Real Estate Industrials, Inc.	\$	10.00		\$	10.00
Rector Hylan Corp.	\$	50.00		\$	50.00
Red Apple Group	\$	2,300.00		\$	2,300.00
Refinery LLC	\$	775.00		\$	775.00
River Terrace Apartments	\$	1,010.00		\$	1,010.00
Roag, Inc.	\$	350.00		\$	350.00
Roni Development, Inc.	\$	1,300.00		\$	1,300.00
Roseland Property	\$	350.00		\$	350.00
Rosenshein Associates	\$	1,275.00		\$	1,275.00
Ross Holland Cofire Paving, Corp.	\$	700.00		\$	700.00
Roundabout Theatre Company	\$	50.00		\$	50.00
Rubin Management, Inc.	\$	75.00		\$	75.00
S&H Glazer Bros.	\$	130.00		\$	130.00
S&R Medallion Corp.	\$	925.00		\$	925.00
Sage Realty Corp.	\$	50.00		\$	50.00
SAR Academy	\$	1,575.00		\$	1,575.00
Screen Actors Guild	\$	100.00		\$	100.00
SDS Investments LLC	\$	375.00		\$	375.00
Second Development Services, Inc.	\$	650.00		\$	650.00
SEF Industries Inc.	\$	350.00		\$	350.00

Senior Housing Resource Corp.	\$	925.00	\$	925.00
Sequoia Community Initiatives Inc.	\$	850.00	\$	850.00
Serota Properties	\$	320.00	\$	320.00
Shalner Developers LLC	\$	970.00	\$	970.00
Shamrock Stables Inc.	\$	20.00	\$	20.00
Shubert Organization (The)	\$	360.00	\$	360.00
Skanska USA	\$	420.00	\$	420.00
Skyway Development Corp.	\$	550.00	\$	550.00
Slazer Enterprises	\$	1,080.00	\$	1,080.00
Socrates Sculpture Park Inc.	\$	875.00	\$	875.00
South Bronx Overall Economic Development Corp.	\$	1,025.00	\$	1,025.00
SP 96-97 Street LLC	\$	50.00	\$	50.00
Spafumerie 2 Inc. (The)	\$	75.00	\$	75.00
St. Mary's Hospital for Children	\$	650.00	\$	650.00
Starret City Associates	\$	300.00	\$	300.00
Staten Island Chamber of Commerce	\$	1,375.00	\$	1,375.00
Stellar Management/Independence Plaza	\$	2,300.00	\$	2,300.00
Stonehenge Partners	\$	50.00	\$	50.00
Sunnyside Community Services, Inc.	\$	450.00	\$	450.00
Surf Avenue Holdings, LLC	\$	725.00	\$	725.00
Sylgar Properties Co. LLC	\$	920.00	\$	920.00
Taconic Investment Partners LLC	\$	725.00	\$	725.00
Taxi Technology Corporation	\$	570.00	\$	570.00
Telebeam Telecommunications, Corp.	\$	775.00	\$	775.00
Thomas Klein LLC	\$	900.00	\$	900.00
Tiago Holdings LLC	\$	600.00	\$	600.00
Time Warner Cable of NYC	\$	800.00	\$	800.00
Tony Pagano - The Marlboro Companies, LLC	\$	700.00	\$	700.00
Tracy Towers c/o RY Management Co	\$	775.00	\$	775.00
Transcare Corporation	\$	775.00	\$	775.00
Transportation Alternatives	\$	280.00	\$	280.00
Trinity Housing Association Ltd.	\$	570.00	\$	570.00
Trio Fortune LLC	\$	310.00	\$	310.00
Trustees of Columbia University (The)	\$	75.00	\$	75.00
UFCW District Council of NY & NJ	\$	925.00	\$	925.00
Uniformed Firefighters Association, Inc.	\$	1,100.00	\$	1,100.00
Unisys	\$	370.00	\$	370.00
Vocational Foundation Inc.	\$	50.00	\$	50.00
Wall Street Rising Corp.	\$	925.00	\$	925.00
Walter & Samuels, Inc.	\$	920.00	\$	920.00
Web Holdings LLC	\$	725.00	\$	725.00
Weiner, Jude	\$	1,125.00	\$	1,125.00
West 129th Street Realty LLC	\$	50.00	\$	50.00

Whitney Museum of Art	\$ 950.00	\$ 950.00
Whole Foods	\$ 2,650.00	\$ 2,650.00
Willets Point Industry and Realty Inc.	\$ 4,190.00	\$ 4,190.00
William R. Rappel	\$ 25.00	\$ 25.00
Women In Need	\$ 750.00	\$ 750.00
Women's Housing & Economic Development Corp.	\$ 1,050.00	\$ 1,050.00
Worldwide Foods	\$ 875.00	\$ 875.00
Yeshiva of the Telshe Alumni	\$ 10.00	\$ 10.00
Yonkers Contracting Company, Inc.	\$ 675.00	\$ 675.00
<u>Yuco Management</u>	<u>\$ 25.00</u>	<u>\$ 25.00</u>
<u>SUBTOTAL</u>	<u>\$ 220,400.00</u>	<u>\$ 21,000.00</u>
<u>TOTAL</u>		<u><u>\$ 241,400.00</u></u>

LATE FEES: LOBBYIST REGISTRATIONS 2007

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
92nd Street YM & YWHA	\$ 2,650.00
Constructive Strategies, Inc.	\$ 1,775.00
Extell Development Company	\$ 3,300.00
Friends of the High Line	\$ 1,450.00
Honigman, Miller, Schwartz & Cohn LLP	\$ 3,025.00
Kurzman Karelsen & Frank, LLP	\$ 2,150.00
Make the Road by Walking, Inc.	\$ 3,175.00
P.S. 1 Contemporary Art Center, Inc.	\$ 1,350.00
The Museum of Modern Art	<u>\$ 6,200.00</u>
<u>TOTAL</u>	<u><u>\$ 25,075.00</u></u>

LATE FEES: LOBBYIST PERIODIC REPORT 1

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
92nd Street YM & YWHA	\$ 1,400.00
AFSCME	\$ 350.00
Anti-Discrimination Center of Metro New York, Inc.	\$ 450.00
Bill Lynch Associates LLC	\$ 125.00
Coalition for the Homeless	\$ 1,275.00
Constructive Strategies, Inc.	\$ 1,275.00
Consumers Union of US, Inc.	\$ 925.00
Friends of the High Line	\$ 1,075.00
Hartman & Craven LLP	\$ 25.00
Honigman, Miller, Schwartz & Cohn, LLP	\$ 450.00
Housing Conservation Coordinators	\$ 200.00
International Union of Operating Engineers Local 114-14B	\$ 390.00
Kurzman Karelsen & Frank, LLP	\$ 330.00
Law Offices of Claudia Wagner LLC	\$ 50.00
Make the Road by Walking, Inc.	\$ 150.00
P.S. 1 Contemporary Art Center, Inc.	\$ 450.00
Queens Community House	\$ 350.00
The Metropolitan Museum of Art	\$ 75.00
The Museum of Modern Art	\$ 2,175.00
The Sikh Coalition	\$ 570.00
<u>TOTAL</u>	<u>\$ 12,090.00</u>

LATE FEES: LOBBYIST PERIODIC REPORT 2

<u>LOBBYIST</u>	<u>AMOUNT</u>
1199 SEIU United Healthcare Workers East	\$ 425.00
Allen P. Cappelli Esq.	\$ 1,150.00
Brendan Seston	\$ 600.00
Child Care, Inc.	\$ 600.00
Community Preservation Corporation	\$ 475.00
Friends of the High Line	\$ 425.00
Good Shepherd Services	\$ 140.00
Greater New York Auto Dealers Association, Inc.	\$ 425.00
Housing Conservation Coordinators	\$ 675.00
International Union of Operating Engineers Local 14-14 B	\$ 150.00
Kaye Scholer LLP	\$ 1,950.00
M2C Consulting LLC	\$ 120.00
Nagel Law Office, PLLC	\$ 70.00
National Association of Social Workers-New York City Chapter	\$ 280.00
New York Lawyers for the Public Interest, Inc.	\$ 650.00
New York State Association for Affordable Housing	\$ 100.00
Paul, Weiss, Rifkind, Wharton & Garrison LLP	\$ 650.00
Queens Community House	\$ 725.00
Reiter/ Begun Associates, LLC	\$ 75.00
Richard Lipsky Associates, Inc.	\$ 1,475.00
Salamone Consulting, Inc.	\$ 1,200.00
Sightlines LLC	\$ 275.00
The General Contractors Association of New York, Inc.	\$ 600.00
Thelen Reid Brown Raysman & Steiner LLP	\$ 40.00
Tobman Strategies LLC	\$ 1,400.00
United Way of New York City	\$ 600.00
Vera Institute of Justice	\$ 270.00
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<u>TOTAL</u>	<u><u>\$ 15,545.00</u></u>

LATE FEES: LOBBYIST PERIODIC REPORT 3

<u>LOBBYIST ENTITY NAME</u>	<u>AMOUNT</u>
Dorot, Inc.	\$ 25.00
Extell Development Company	\$ 1,125.00
John Alchuler	\$ 450.00
National Association of Social Workers-New York City Chapter	\$ 110.00
M2C Consulting LLC	\$ 20.00
New York Historical Society	\$ 25.00
Reach Out and Read of Greater New York	\$ 20.00
Regional Programs, Inc.	\$ 50.00
Reiter/Begun Associates, LLC	\$ 25.00
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<u>TOTAL</u>	<u>\$ 1,850.00</u>